



European  
Commission



# Marie Skłodowska- Curie Actions under Horizon 2020 – IRSES modalities

*Desislava KOLAROVA*

*Jozsef MIKITA*

**Research Executive Agency**

*Excellent Science Department*

**Istanbul 24 April 2014**

Research  
Executive  
Agency

# Overview – Part 1

- Policy context: EU2020 – Innovation Union Flagship
- Horizon 2020
- Marie Skłodowska-Curie Actions in Horizon 2020



## Europe 2020 :European Union's ten-year growth strategy 2010-2020 – policy framework.

- growth that is smarter, more sustainable and more inclusive.

7 flagship initiatives:

**Smart growth**: Digital Agenda for Europe, *Innovation Union*, Youth on the move

**Sustainable growth**: Resource Efficient Europe, An industrial policy for the globalization era

**Inclusive growth**: An agenda for new skills and jobs, European Platform against poverty



European  
Commission

## Europe 2020 – Innovation Union priorities



### HORIZON 2020

- Regulation 1291/2013 establishing H2020
- Council Decision 2013/743/EU specific programme
- Regulation 1290/2013 Rules for participation

#### Societal Challenges

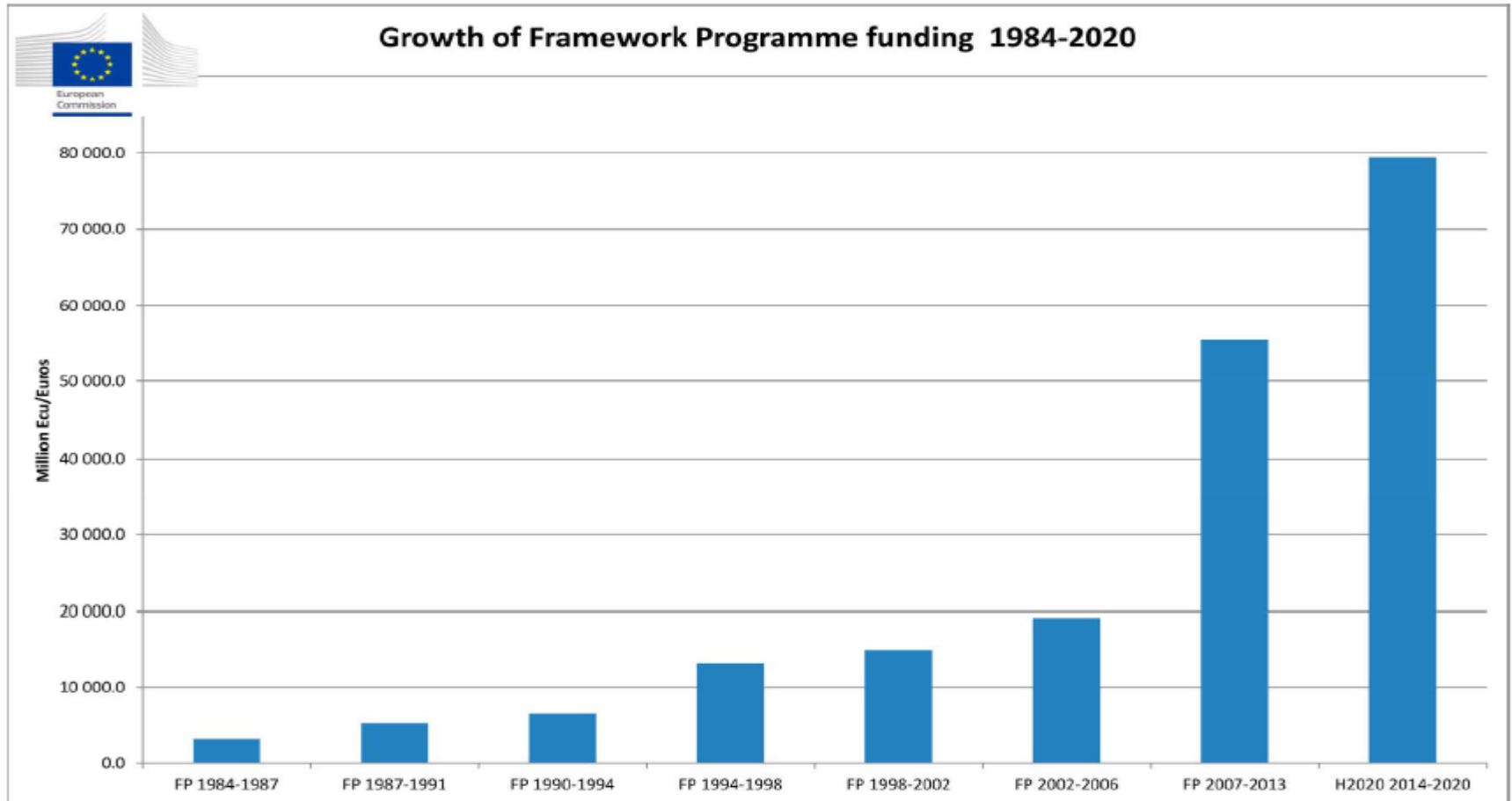
#### Industrial Leadership

#### Excellent Science

- European Research Council
- Future and Emerging Technologies
- **Marie Skłodowska-Curie actions on skills, training and career development**
- Research infrastructures

Horizon 2020 (2014-2020)  
Total EU Regulation: **77 028 million euro**  
Total EURATOM Regulation 2014-2018: 1 603 million euro  
2019-2020: 770 million euro  
(In current prices)

# Evolution of the Framework programme



(c) European Union 2013

# MSCA Objective

## Main objective

- Ensure the optimum development and dynamic use of Europe's intellectual capital in order to generate new skills and innovation

*Total budget (2014-2020): € 6.162 billion (current prices) = 8% of H2020*

## Rationale

- ✓ Mobility
- ✓ Training and career development
- ✓ Identify excellent talents in research and innovation in international competition
- ✓ Make best researchers in Europe and the world work together across countries, sectors and disciplines
- ✓ Create a whole new mind-set in Europe, crucial for entrepreneurship and innovation

# MSCA – Key Features

- **Bottom-up approach**
- **Broad definition of industry involvement: participation of businesses (including SMEs) and other socio-economic actors**
- **Internal H2020 synergies with other part of Excellent Science, societal challenges, industrial technologies, EIT, Erasmus for All, Cohesion Policy**
- **Gender balance and equal opportunities**
- **Outreach activities and communication**
- **Excellent employment and working conditions,**
- **Structuring effect**

# MSCA Actions

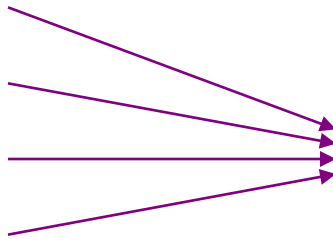
ITN  
(including EID)



**Innovative  
Training  
Networks  
(ITN)**

Doctoral and initial training of researchers proposed by international networks of organisations from public and private sectors

IEF  
IOF  
IIF  
CIG



**Individual  
Fellowships  
(IF)**

Individual fellowships for most promising experienced researchers to develop their skills through international or inter-sector mobility

IAPP  
IRSES



**R&I Staff  
Exchange  
(RISE)**

International and inter-sector cooperation through the exchange of research and innovation staff

COFUND



**COFUND**

Co-funding of regional, national and international programmes



# Overview – Part 2

- RISE – main features
- Eligibility, beneficiaries, partners
- Secondments
- Structure of the proposal
- Award/Selection criteria
- Funding rates
- Call deadlines

# Purpose of RISE



- Joint research and innovation activities
- Academic and/or non-Academic participants
- secondment of staff (no recruitments)
- Mobility, both trans-national and inter-sectoral
  - ✓ Opening research careers at European and international level
  - ✓ Enhanced business-academia collaboration and staff exchange
- Bottom-up approach, 8 Evaluation panels

# Eligible Countries for funding



- EU Member States
- Overseas Countries and Territories linked to the MS  
(As defined on page 3 of *General Annex A to the Horizon 2020 Work Programme 2014-2015*)
- Horizon 2020 Associated Countries  
(In principle, the same as FP7, but subject to the adoption of the association agreements)
- The Third Countries listed  
(On page 3 of **General Annex A** to the Horizon 2020 Work Programme 2014-2015)

# Eligible Institutions



## Academic Sector

- Higher education establishments (public or private)
- Non-profit research organizations (public or private)
- International European interest organizations (CERN, EMBL,...)

## Non-Academic Sector

- All other organizations by default (SMEs, multinationals, NGOs, museums, etc.).

All actors are automatically classified in one of the two sectors on the basis of the Participant Identification Code (PIC) via URF (Horizon 2020 Rules for Participation).



## Beneficiaries

- **Sign** the Grant Agreement and **claim** costs
- Are responsible for the execution of the programme
- Are established in a **MS/AC**

## Partner Organisations

- **Do not sign** the Grant Agreement and **do not claim** costs
- Are established in a **TC**
- Must include a **letter of commitment** in the proposal (the Partnership Agreement is no longer required although still recommended)

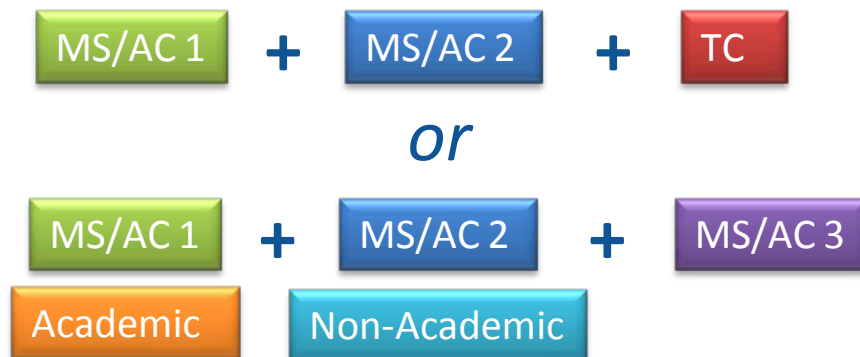
# Minimum participation



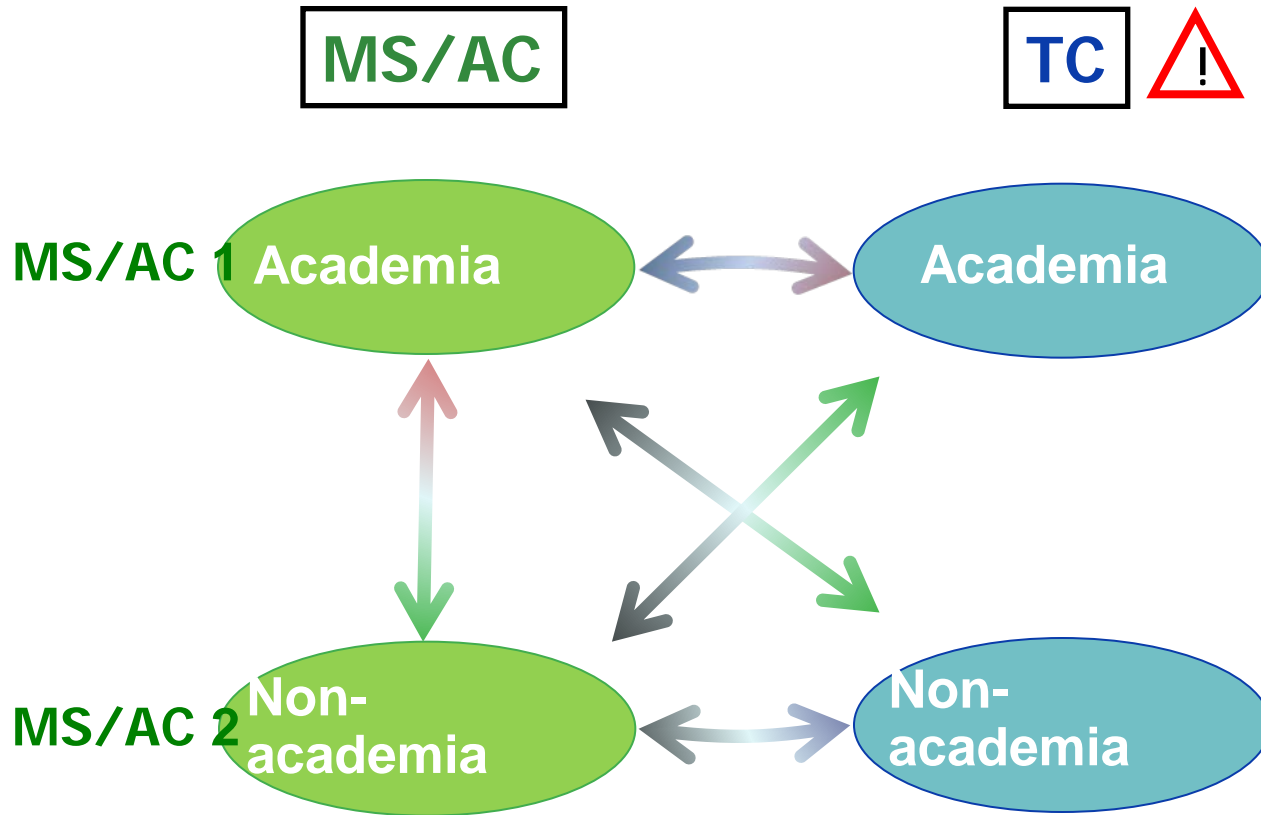
- At least 3 independent participants in 3 different countries (*with at least 2 MS/AC*)


- If all 3 independent organisations are from MS/AC, at least one should be from the **academic** sector and one from the **non-academic** sector

- In practice, 2 possible minimum settings:



# Eligible secondments



  
**Secondments from a TC to a MS/AC are not always eligible for EU funding.**

# Eligible Secondments



- Secondments from a MS/AC organisation to a partner located in a TC;
- Secondments between an **academic** organisation in one MS/AC to a **non-academic** organisation in another MS/AS and vice versa;
- Secondments from an organisation located in a TC to a MS/AC on the condition that the TC is eligible for funding, as specified in the **Annex A to the Work Programme (low income countries)**.



## Not reimbursed

- Secondments between institutions located within the same MS/AC or the same TC;
- Secondments between two academic or between two non-academic organisations located in different MS/AC;
- Secondments between organisations located in different TC;
- Secondments to a MS/AC from organisations located in a TC not eligible for funding according to the Annex A to the Work Programme.

# Eligible Staff Members



- Types of **staff members** supporting the research and innovation activities of the project :
  - **ESR** (no PhD and < 4 years experience from the degree which would formally entitle him or her to embark on a doctorate)
  - **ER** (PhD or > 4 years experience)
  - **Managerial** staff
  - **Administrative** staff
  - **Technical** staff
- People are considered as staff based on applicable national law (**contractual relationship**)
- Actively engaged in or linked to research/innovation activities for at least **6 months prior to the first secondment**
- In-built **return** mechanism

# Eligible Duration



- Maximum project duration is **4 years**
- **Each staff** member is seconded for a period of **1 to 12 months**
- The **maximum** size for a project is **540 person months**



The **minimum length of 1 month** is reached if either:

- **Consecutive days covering at least 1 month:** If the secondment starts on day  $n$  of a month ( $X$ ) and ends on day  $n-1$  of the next month ( $X+1$ ) – it is equivalent to 1 person month.
- **Non-consecutive periods:** splits below one month are possible as long as the sum of the duration of all splits is at least **30 days**.

If the minimum period of 1 month is reached, **additional incomplete months** will be paid as a **pro-rata of 30 days**.

# EU Contribution



## unit cost:

a fixed amount per person-month of secondment.

Categories of eligible costs	Costs of researchers / seconded staff member				Institutional costs	
	Living allowance*	Mobility allowance	Family allowance	Top-up allowance	Research, training and networking costs	Management and indirect costs
Marie Skłodowska-Curie action						
RISE (100%)	--	--	--	2 000	1 800	700

no country coefficients apply



## - **Staff member unit cost:**

travel, accommodation and subsistence costs.

## - **Research, training and networking costs:**

purchasing of consumables, laboratory costs, participation to conferences, workshops and networking activities.

## - **Management and indirect costs:**

administrative and financial management, logistics, ethics, human resources, legal advice, documentation, etc.



## Award Criteria

- **Excellence**: 50% weighting; priority 1
- **Impact**: 30% weighting; priority 2
- **Implementation**: 20% weighting; priority 3
- **Overall Threshold**: of 70%

# Call deadlines



## Calls

Call ID	Call Opens	Call Deadline	Budget (Mio EUR)
H2020-MSCA-RISE-2014	11-12-2013	<b>24-04-2014</b>	70.00
H2020-MSCA-RISE-2015	06-01-2015	<b>28-04-2015</b>	80.00



# Overview – Part 3

- Project reports in IRSES
- Researcher Registration Report
- Financial Provisions, project life-cycle
- Payment modalities

# PROJECT ASSESSMENT REPORTS

- **Mid-term report**
- **Periodic report**
- **Final report**
- **Funding distribution report**

# Mid term REPORT

## **WHEN**

Within 30 days after the half of the reporting period of the project.  
NO financial report required.

## **WHAT**

- Contract deliverables update

## **HOW**

- Attachments
- Submitted electronically via the PP

# PERIODIC REPORT

## **WHEN**

- P1 (e.g. 1 month - 24 month)
- P2 (e.g. 25 months - end of the project)

*within 60 days after each reporting period (P1 & P2)*

## **WHAT**

- Periodic report
- Financial Statement for each participant (Form C)
- Summary Financial Report

## **HOW**

- Periodic report submitted via the PP
- Form C + Summary fin. report via the PP

# FINAL REPORT

## **WHEN**

- within 60 days after end of project

## **WHAT**

- Report covers whole project period
- To be submitted together with Periodic report for period P2, financial statements

## **HOW**

- Submitted electronically via the PP

# FUNDING DISTRIBUTION REPORT

## **WHEN**

- Report to be submitted within 30 days after reception of final payment

## **WHAT**

- Report on how and when the received funds have been redistributed among project partners
- Template of this report is available in PP

## **HOW**

- via the PP

# Electronic submission only

## When?

As of 1<sup>st</sup> January 2013

## What?

No paper form C to be sent to REA

## How?

Via Participant portal

Detailed instructions will be sent to each beneficiary from the EC data base and the NCPs

# Reporting summary

<b>Reports (Participant Portal)</b>	<i>within 30 days after 12 months</i>	<i>within 60 days after 24 months</i>	<i>within 30 days after 36 months</i>	<i>within 60 days after 48 months</i>	<i>within 30 days after Final payment</i>
<b>Mid-term report</b>	<b>X</b>		<b>X</b>		
<b>Periodic report:</b>					
• <i>Periodic report (by Coordinator)</i>		<b>X</b>		<b>X</b>	
• <i>Financial Statements (Forms C) (by each beneficiary)</i>		<b>X</b>		<b>X</b>	
• <i>Summary Financial report (by Coordinator)</i>		<b>X</b>		<b>X</b>	
<b>Final Report</b>				<b>X</b>	
<b>Distribution report</b>					<b>X</b>



# Research Registration Report (RRR)

## **WHEN**

- Report to be submitted within 20 days after the start of each secondment

## **WHAT**

- Information on the researcher and secondment place and period
- Template of this report is available in PP

## **HOW**

- via the PP
- Possible to amend it before submitting the periodic report

# Research Registration Report (RRR)

## Select Document

Please choose one of the following options:  
 1. Create a new document  
 2. Continue editing an existing document  
 3. Delete an existing document

### Menu

- Home
- Assessment Reports
- Review Reports
- Reports
- Documents**
- Amendment Requests
- Notifications
- Deliverables
- Publications
- Patents
- Exploitable Foregrounds
- Vacancies
- Logout
- Back

- To fill-in review report, select 'Review Reports' from menu.
- To fill-in report, select 'Reports' from menu.
- To fill-in declaration on the conformity, select 'Documents' from menu.
- To fill-in amendment request, select 'Amendment Requests' from menu.
- To fill-in notification form, select 'Notifications' from menu.
- To logout from the system, select 'Logout' from menu.

## Recently updated reports

Form name	Period / Review Session

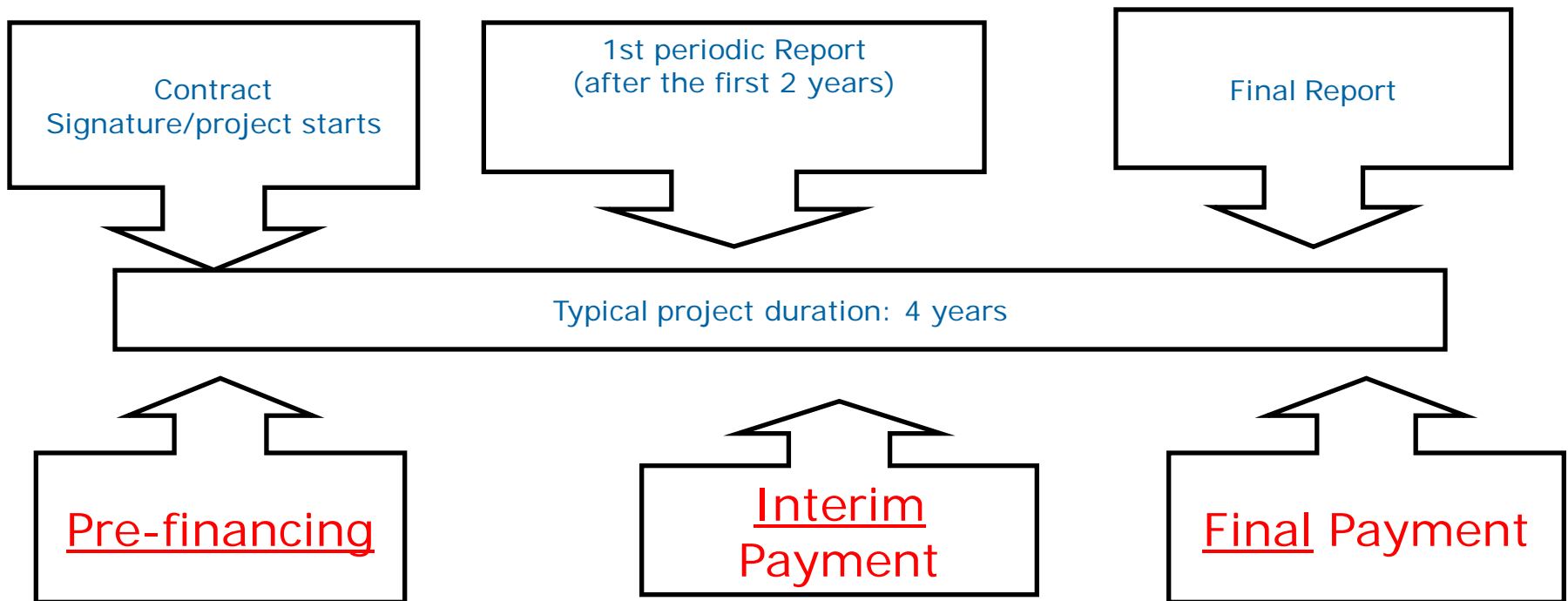
What is FP7? : FP7 step by step : Find a Call : Get Support : Find a Partner : Find a Document : Prepare & submit

Print

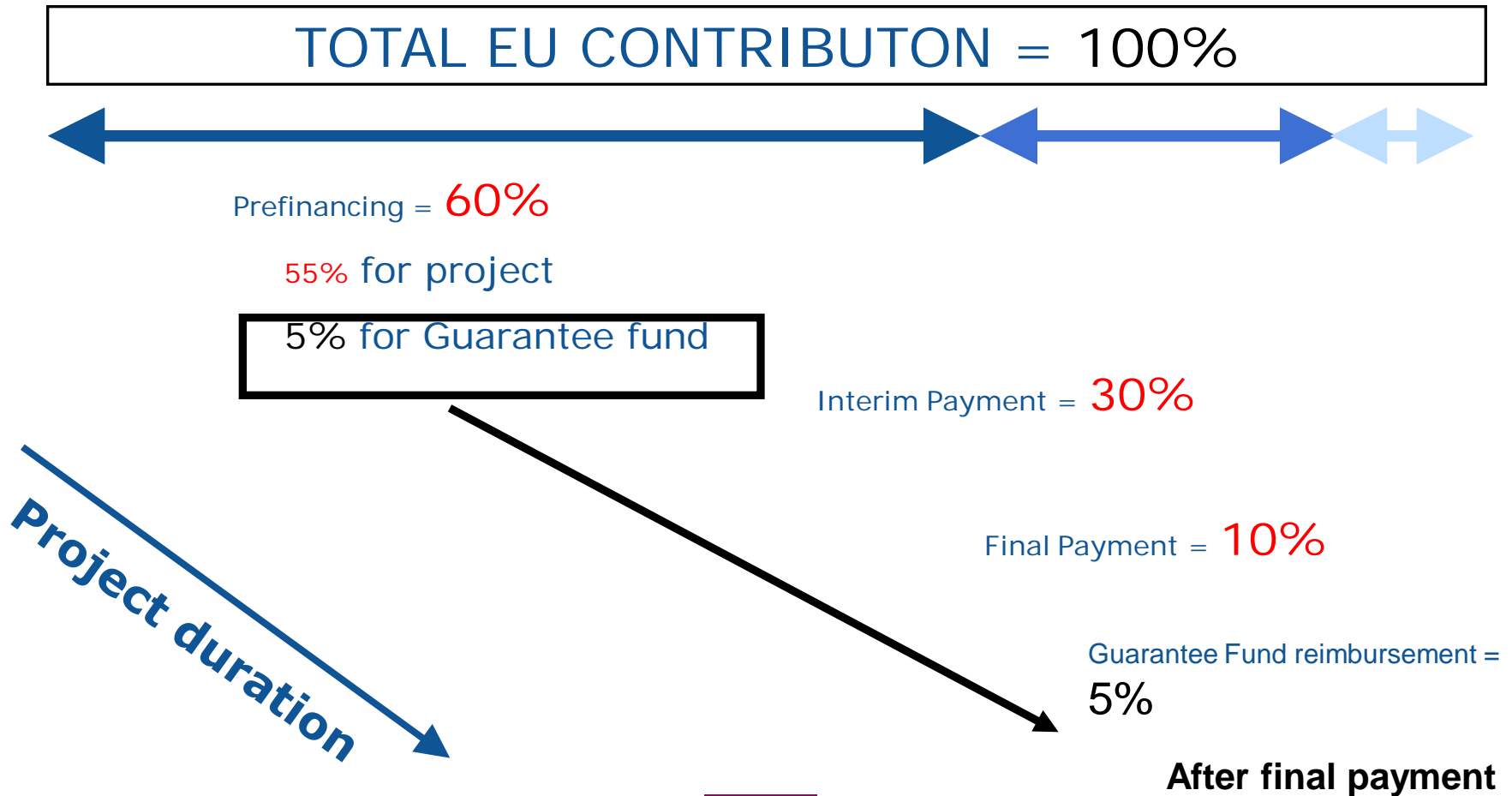
Document status

Username	Participant Index	Researcher name	No.	Attachments	Date submitted	Date rejected	Status	ARES Reg. N.	Acknowledgement	Rejection	Revoke
ndolgold	1	<a href="#">Victor Loschenov</a>	1		15/11/2013 09:57:40 CET		Submitted	Ares(2013)3486772	Ares(2013)3486773	-	
ndolgold	1	<a href="#">Anastasia Ryabova</a>	0		15/11/2013 09:56:22 CET		Submitted	Ares(2013)3486770	Ares(2013)3486771	-	

## Finances and project life cycle



## Maximum payments



# Exchanges **not** eligible for funding ?

- From MS/AC to MS/AC
- From TC participant to TC participant
- From non-ICPC third country to MS/AC

# What does the EU Contribution consist of ?

**Flat rate per researcher per month**

Or

**Pro-rata for part of a month**

According to the reference rates established in the Work Programme and set out in Annex I:

**€1.900 standard**

**€2.100 long distance**

**NB For 2008 and 2009 GA the reference rate is € 1800**

# How EC contribution is distributed between the partners?

Calculated according to the secondments -months per beneficiary

**IF the staff member spent one month in the host organisation then the full flat rate is to be reported**

**IF the staff member spent less than one month in the host organisation then the pro-rata is to be reported**



# Administration of funding

**The EU funding is administered only by the beneficiaries in the GA**

**The beneficiaries remain the sole responsible towards the EU for management of the funds**

**The financial reporting will be done by each beneficiary:**

**number of outgoing secondments + number of incoming secondments from an ICPC partner (if any)**



## What documents to keep for an audit?

- For the purposes of the Grant Agreement, no need to keep detailed records of how money was spent
- However, the accounting rules of your institution normally require more records
- The beneficiary should be able to prove that the secondments took place

## Some examples

Project max. EU contribution = €400.000

No. of months in average = 200 (100 1<sup>st</sup> period, 100 2<sup>nd</sup>)

Pre-financing paid = €240.000

Coordinator receives = €220.000

Guarantee Fund receives = €20.000

### EXAMPLE 1

Cost claim = €200.000

Execution of secondments = 100

Maximum amount payable = €120.000

### EXAMPLE 2

Cost claim for €80.000

Execution of secondments = 40 (**less than 50% from 1<sup>st</sup> period planning**)

**PO ask catch up plan**

Maximum amount payable:

€80.000

or €0 depending on the analysis of the catch up plan, done by the PO



European  
Commission



**Marie Skłodowska-Curie Actions  
Website**

<http://ec.europa.eu/mariecurieactions>

**Horizon 2020**

<http://ec.europa.eu/programmes/horizon2020>

**THANK YOU FOR YOUR ATTENTION!**



Research