Overview – Part 1

- Policy context: EU2020 – Innovation Union Flagship

- Horizon 2020

- Marie Skłodowska-Curie Actions in Horizon 2020

- growth that is smarter, more sustainable and more inclusive.

7 flagship initiatives:

**Smart growth**: Digital Agenda for Europe, *Innovation Union*, Youth on the move

**Sustainable growth**: Resource Efficient Europe, An industrial policy for the globalization era

**Inclusive growth**: An agenda for new skills and jobs, European Platform against poverty
Europe 2020 – Innovation Union priorities

HORIZON 2020

Societal Challenges

Industrial Leadership

Excellent Science

- European Research Council
- Future and Emerging Technologies
- Marie Skłodowska-Curie actions on skills, training and career development
- Research infrastructures

Horizon 2020 (2014-2020)
Total EU Regulation: **77 028 million euro**
Total EURATOM Regulation 2014-2018: 1 603 million euro
2019-2020: 770 million euro
(In current prices)
Evolution of the Framework programme
MSCA Objective

Main objective

- Ensure the optimum development and dynamic use of Europe’s intellectual capital in order to generate new skills and innovation

*Total budget (2014-2020): € 6.162 billion (current prices) = 8% of H2020*

Rationale

✓ Mobility
✓ Training and career development
✓ Identify excellent talents in research and innovation in international competition
✓ Make best researchers in Europe and the world work together across countries, sectors and disciplines
✓ Create a whole new mind-set in Europe, crucial for entrepreneurship and innovation
MSCA – Key Features

- Bottom-up approach
- Broad definition of industry involvement: participation of businesses (including SMEs) and other socio-economic actors
- Internal H2020 synergies with other part of Excellent Science, societal challenges, industrial technologies, EIT, Erasmus for All, Cohesion Policy
- Gender balance and equal opportunities
- Outreach activities and communication
- Excellent employment and working conditions,
- Structuring effect
MSCA Actions

- **ITN (including EID)**: Doctoral and initial training of researchers proposed by international networks of organisations from public and private sectors.

- **IEF**: Individual fellowships for most promising experienced researchers to develop their skills through international or inter-sector mobility.

- **IOF**: 

- **IIF**: 

- **CIG**: 

- **IAPP**: 

- **IRSES**: 

- **COFUND**: Co-funding of regional, national and international programmes.

- **Innovative Training Networks (ITN)**

- **Individual Fellowships (IF)**

- **R&I Staff Exchange (RISE)**

- **COFUND**
Overview – Part 2

- RISE – main features
- Eligibility, beneficiaries, partners
- Secondments
- Structure of the proposal
- Award/Selection criteria
- Funding rates
- Call deadlines
Purpose of RISE

- Joint research and innovation activities
- Academic and/or non-Academic participants
- Secondment of staff (no recruitments)
- Mobility, both trans-national and inter-sectoral
  - Opening research careers at European and international level
  - Enhanced business-academia collaboration and staff exchange
- Bottom-up approach, 8 Evaluation panels
- EU Member States

- Overseas Countries and Territories linked to the MS
  (As defined on page 3 of General Annex A to the Horizon 2020 Work Programme 2014-2015)

- Horizon 2020 Associated Countries
  (In principle, the same as FP7, but subject to the adoption of the association agreements)

- The Third Countries listed
Eligible Institutions

**Academic Sector**
- Higher education establishments (public or private)
- Non-profit research organizations (public or private)
- International European interest organizations (CERN, EMBL,…)

**Non-Academic Sector**
- All other organizations by default (SMEs, multinationals, NGOs, museums, etc.).

All actors are automatically classified in one of the two sectors on the basis of the Participant Identification Code (PIC) via URF (Horizon 2020 Rules for Participation).
Eligible Institutions

**Beneficiaries**
- Sign the Grant Agreement and **claim** costs
- Are responsible for the execution of the programme
- Are established in a **MS/AC**

**Partner Organisations**
- Do **not** sign the Grant Agreement and do **not** claim costs
- Are established in a **TC**
- Must include a **letter of commitment** in the proposal (the Partnership Agreement is no longer required although still recommended)
Minimum participation

- At least 3 independent participants in 3 different countries (with at least 2 MS/AC)

- If all 3 independent organisations are from MS/AC, at least one should be from the academic sector and one from the non-academic sector

- In practice, 2 possible minimum settings:
Eligible secondments from a TC to a MS/AC are not always eligible for EU funding.
Eligible Secondments

- Secondments from a MS/AC organisation to a partner located in a TC;

- Secondments between an academic organisation in one MS/AC to a non-academic organisation in another MS/AC and vice versa;

- Secondments from an organisation located in a TC to a MS/AC on the condition that the TC is eligible for funding, as specified in the Annex A to the Work Programme (low income countries).
Ineligibility

Not reimbursed

- Secondments between institutions located within the same MS/AC or the same TC;
- Secondments between two academic or between two non-academic organisations located in different MS/AC;
- Secondments between organisations located in different TC;
- Secondments to a MS/AC from organisations located in a TC not eligible for funding according to the Annex A to the Work Programme.
Eligible Staff Members

- Types of **staff members** supporting the research and innovation activities of the project:
  - ESR (no PhD and < 4 years experience from the degree which would formally entitle him or her to embark on a doctorate)
  - ER (PhD or > 4 years experience)
  - Managerial staff
  - Administrative staff
  - Technical staff
- People are considered as **staff based on applicable national law** (contractual relationship)

- Actively engaged in or linked to research/innovation activities for at least **6 months prior to the first secondment**

- In-built **return mechanism**
Maximum project duration is 4 years

Each staff member is seconded for a period of 1 to 12 months

The maximum size for a project is 540 person months
The minimum length of 1 month is reached if either:

- **Consecutive days covering at least 1 month**: If the secondment starts on day \(n\) of a month \((X)\) and ends on day \(n-1\) of the next month \((X+1)\) – it is equivalent to 1 person month.

- **Non-consecutive periods**: splits below one month are possible as long as the sum of the duration of all splits is at least 30 days.

If the minimum period of 1 month is reached, **additional incomplete months will be paid as a pro-rata of 30 days.**
**unit cost:**
a fixed amount per person-month of secondment.

<table>
<thead>
<tr>
<th>Categories of eligible costs</th>
<th>Costs of researchers / seconded staff member</th>
<th>Institutional costs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Marie Skłodowska-Curie action</td>
<td><img src="table.png" alt="Table" /></td>
<td><img src="table.png" alt="Table" /></td>
</tr>
<tr>
<td>RISE (100%)</td>
<td><img src="table.png" alt="Table" /></td>
<td><img src="table.png" alt="Table" /></td>
</tr>
</tbody>
</table>

- **RISE (100%)**
  - Living allowance*
  - Mobility allowance
  - Family allowance
  - Top-up allowance: 2 000
  - Research, training and networking costs: 1 800
  - Management and indirect costs: 700

*no country coefficients apply*
- **Staff member unit cost**: travel, accommodation and subsistence costs.

- **Research, training and networking costs**: purchasing of consumables, laboratory costs, participation to conferences, workshops and networking activities.

- **Management and indirect costs**: administrative and financial management, logistics, ethics, human resources, legal advice, documentation, etc.
Award Criteria

- **Excellence**: 50% weighting; priority 1
- **Impact**: 30% weighting; priority 2
- **Implementation**: 20% weighting; priority 3
- **Overall Threshold**: of 70%
## Call deadlines

### Calls

<table>
<thead>
<tr>
<th>Call ID</th>
<th>Call Opens</th>
<th>Call Deadline</th>
<th>Budget (Mio EUR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>H2020-MSCA-RISE-2014</td>
<td>11-12-2013</td>
<td>24-04-2014</td>
<td>70.00</td>
</tr>
</tbody>
</table>
Overview – Part 3

- Project reports in IRSES
- Researcher Registration Report
- Financial Provisions, project life-cycle
- Payment modalities
PROJECT ASSESSMENT REPORTS

- Mid-term report
- Periodic report
- Final report
- Funding distribution report
Mid term REPORT

**WHEN**

Within 30 days after the half of the reporting period of the project. NO financial report required.

**WHAT**

- Contract deliverables update

**HOW**

- Attachments
- Submitted electronically via the PP
PERIODIC REPORT

WHEN

- P1 (e.g. 1 month - 24 month)
- P2 (e.g. 25 months - end of the project)

*within 60 days after each reporting period (P1 & P2)*

WHAT

- Periodic report
- Financial Statement for each participant (Form C)
- Summary Financial Report

HOW

- Periodic report submitted via the PP
- Form C + Summary fin. report via the PP
FINAL REPORT

WHEN

- within 60 days after end of project

WHAT

- Report covers whole project period
- To be submitted together with Periodic report for period P2, financial statements

HOW

- Submitted electronically via the PP
FUNDING DISTRIBUTION REPORT

**WHEN**

- Report to be submitted within 30 days after reception of final payment

**WHAT**

- Report on how and when the received funds have been redistributed among project partners
- Template of this report is available in PP

**HOW**

- via the PP
Electronic submission only

When?
As of 1\textsuperscript{st} January 2013

What?
No paper form C to be sent to REA

How?
Via Participant portal
Detailed instructions will be sent to each beneficiary from the EC data base and the NCPs
## Reporting summary

<table>
<thead>
<tr>
<th>Reports (Participant Portal)</th>
<th>within 30 days after 12 months</th>
<th>within 60 days after 24 months</th>
<th>within 30 days after 36 months</th>
<th>within 60 days after 48 months</th>
<th>within 30 days after Final payment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Mid-term report</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Periodic report:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>• Periodic report (by Coordinator)</td>
<td></td>
<td></td>
<td></td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>X</td>
<td></td>
<td></td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• Financial Statements (Forms C) (by each beneficiary)</td>
<td></td>
<td></td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>X</td>
<td></td>
<td></td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• Summary Financial report (by Coordinator)</td>
<td></td>
<td></td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>X</td>
<td></td>
<td></td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td><strong>Final Report</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
</tr>
<tr>
<td><strong>Distribution report</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>
Research Registration Report (RRR)

WHEN

- Report to be submitted within 20 days after the start of each secondment

WHAT

- Information on the researcher and secondment place and period
- Template of this report is available in PP

HOW

- via the PP
- Possible to amend it before submitting the periodic report
Research Registration Report (RRR)
Typical project duration: 4 years

Contract Signature/project starts

1st periodic Report (after the first 2 years)

Final Report

Pre-financing

Interim Payment

Final Payment
Maximum payments

TOTAL EU CONTRIBUTION = 100%

Prefinancing = 60%
- 55% for project
- 5% for Guarantee fund

Interim Payment = 30%

Final Payment = 10%

Guarantee Fund reimbursement = 5%

After final payment
Exchanges not eligible for funding?

- From MS/AC to MS/AC
- From TC participant to TC participant
- From non-ICPC third country to MS/AC
What does the EU Contribution consist of?

Flat rate per researcher per month

Or

Pro-rata for part of a month

According to the reference rates established in the Work Programme and set out in Annex I:

€1,900 standard
€2,100 long distance

NB For 2008 and 2009 GA the reference rate is € 1800
How EC contribution is distributed between the partners?

Calculated according to the secondments - months per beneficiary

IF the staff member spent one month in the host organisation then the full flat rate is to be reported

IF the staff member spent less than one month in the host organisation then the pro-rata is to be reported
Administration of funding

The EU funding is administered only by the beneficiaries in the GA

The beneficiaries remain the sole responsible towards the EU for management of the funds

The financial reporting will be done by each beneficiary:
number of outgoing secondments + number of incoming secondments from an ICPC partner (if any)
What documents to keep for an audit?

• For the purposes of the Grant Agreement, no need to keep detailed records of how money was spent

• However, the accounting rules of your institution normally require more records

• The beneficiary should be able to prove that the secondments took place
Project max. EU contribution = €400,000
No. of months in average = 200 (100 1st period, 100 2nd)
Pre-financing paid = €240,000
Coordinator receives = €220,000
Guarantee Fund receives = €20,000

**EXAMPLE 1**

Cost claim = €200,000
Execution of secondments = 100
Maximum amount payable = €120,000

**EXAMPLE 2**

Cost claim for €80,000
Execution of secondments = 40 (less than 50% from 1st period planning)
PO ask catch up plan
Maximum amount payable:
€80,000
or €0 depending on the analysis of the catch up plan, done by the PO
Marie Skłodowska-Curie Actions
Website
http://ec.europa.eu/mariecurieactions
Horizon 2020
http://ec.europa.eu/programmes/horizon2020

THANK YOU FOR YOUR ATTENTION!